



Berner Fachhochschule  
Haute école spécialisée bernoise  
Bern University of Applied Sciences



# Foundations for a Smart City

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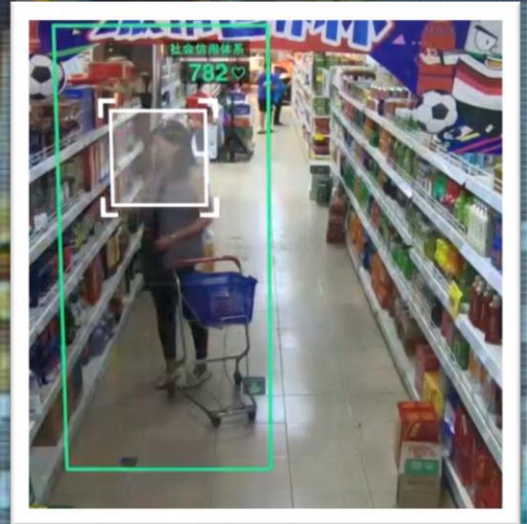
13<sup>th</sup> International Conference on Digital Society and eGovernments (ICDS 2019)  
Athens, Greece  
February 24-28, 2019

Vision...



*Images: Smart City Nansha, ISA Internationales  
Stadtbauteilner, Wikimedia Commons;*

# ... or Nightmare?



南京交警 上海于 2017-7-14 18:30  
来自 300 万全球粉丝

原来您是这样的老司机翻翻！行人非机动车闯红灯抓拍，路边灯光牌处理，行驶非机动车闯红灯抓拍...还有神翻——自燃抓拍！

一种路口文化赋予路口一种温度，文明序在提升，希望每个路口都能有这样的温度！

心 转发 0 评论 10

输入评论内容

一只脚踩油门 幸好，我想下车，如果红灯亮起三秒行人过了马路，你在路中变了红灯停了下车，发现非机动车推过来了，又继续开去这样算闯红灯吗？

Images: CBS News;  
Nanjing Transport Police's public Weibo post;  
ABC Australia

# Smart City Definitions

*„A city to be smart when investments in human and social capital and traditional (transport) and modern (ICT) communication infrastructure fuel sustainable economic growth and a high quality of life, with a wise management of natural resources, through participatory governance“*

*Caragliu et al., 2011*

*„A smart city is a well-defined geographical area, in which high technologies such as ICT, logistic, energy production, and so on, cooperate to create benefits for citizens in terms of well-being, inclusion and participation, environmental quality, intelligent development; it is governed by a well-defined pool of subjects, able to state the rules and policy for the city government and development“*

*Dameri, 2013*

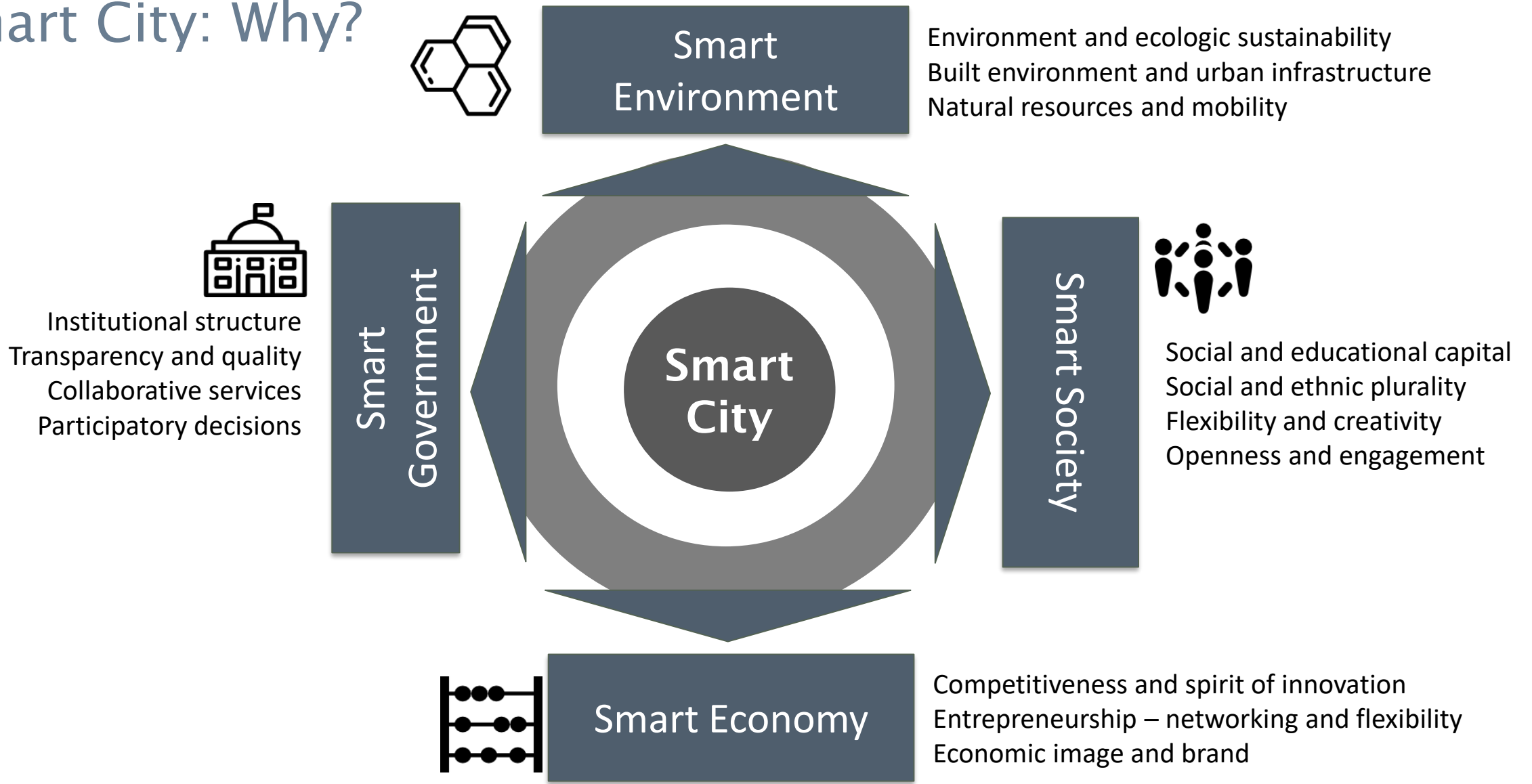
*„A smart sustainable city is an innovative city that uses **information and communication technologies (ICTs)** and other means to improve quality of life, efficiency of urban operations and services, and **competitiveness**, while ensuring that it meets the needs of present and future generations with respect to **economic, social, environmental as well as cultural aspects.**“*

*ITU-T, 2016*

*„Eine Smart City bietet ihren Bewohnern maximale Lebensqualität bei minimalem Ressourcenverbrauch dank einer intelligenten Verknüpfung von Infrastruktursystemen (Transport, Energie, Kommunikation, etc.) auf unterschiedlichen hierarchischen Stufen (Gebäude, Quartier, Stadt).*

*"Intelligent" ist in diesem Zusammenhang nicht automatisch mit "IT" gleichzusetzen. Bei ähnlicher Performance sind passive oder selbstregulierende Mechanismen den aktiv geregelten Ansätzen vorzuziehen."*

# Smart City: Why?



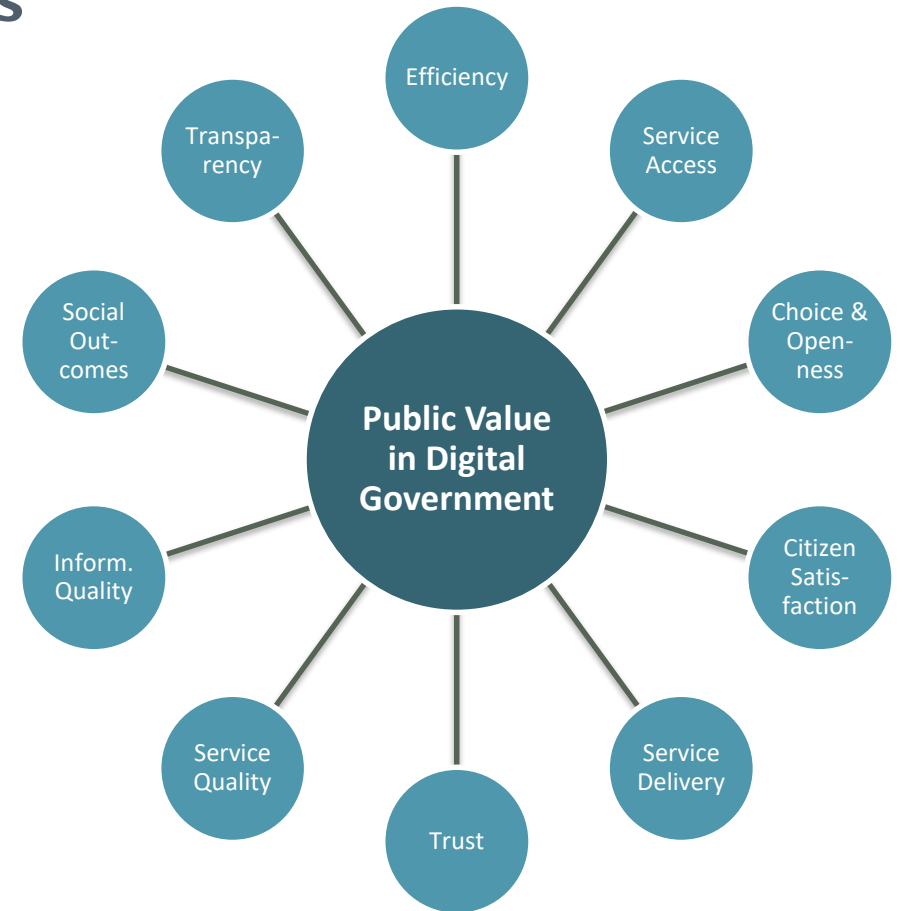
Sources: based on Gil-Garcia et al. 2015, Griffinger et al. 2007

# Public Value

Public officials and politicians have different goals than leaders in the private sector

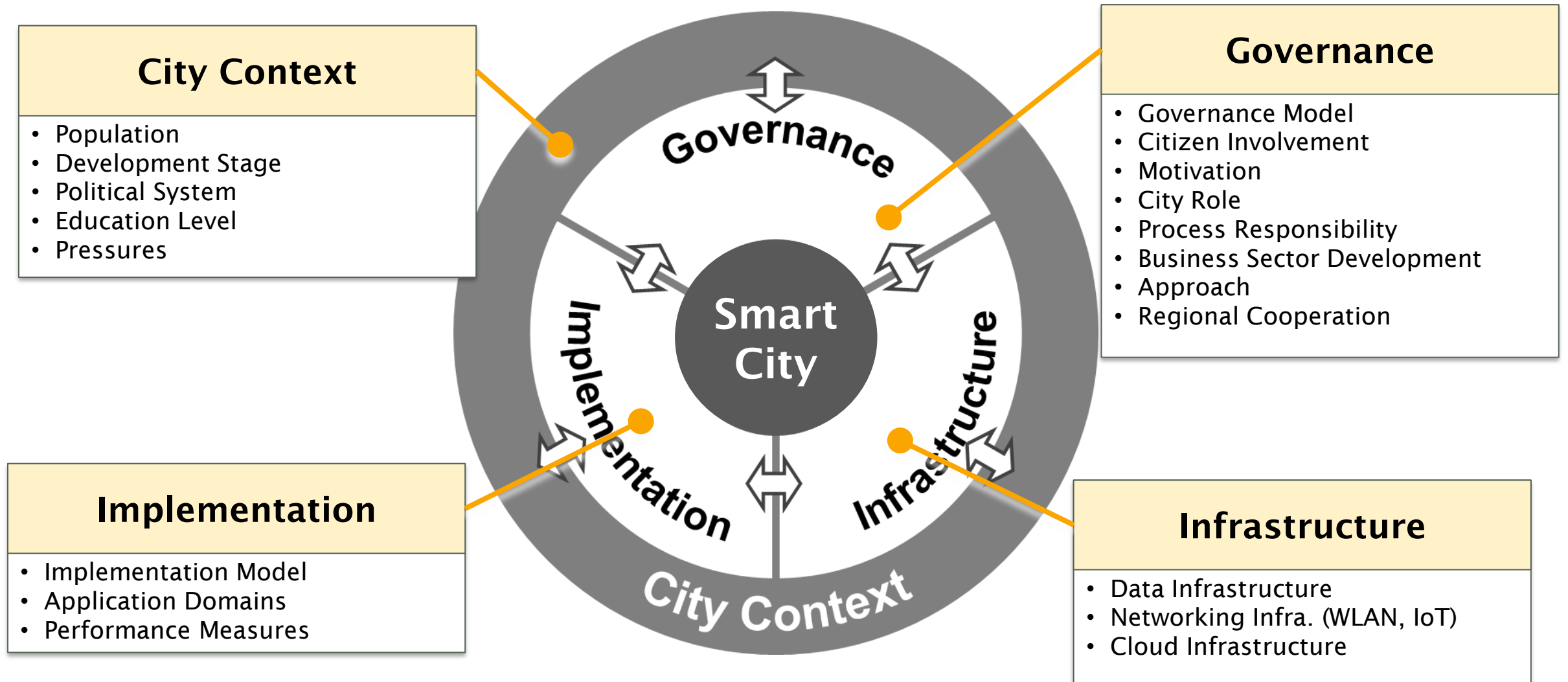
**Types of public value** (Harrison et al. 2011)

- ▶ Financial
- ▶ Political
- ▶ Social
- ▶ Strategic
- ▶ Ideological
- ▶ Stewardship



Source: based on Puron-Cid 2017

# Dimensions of the Smart City Strategy Framework



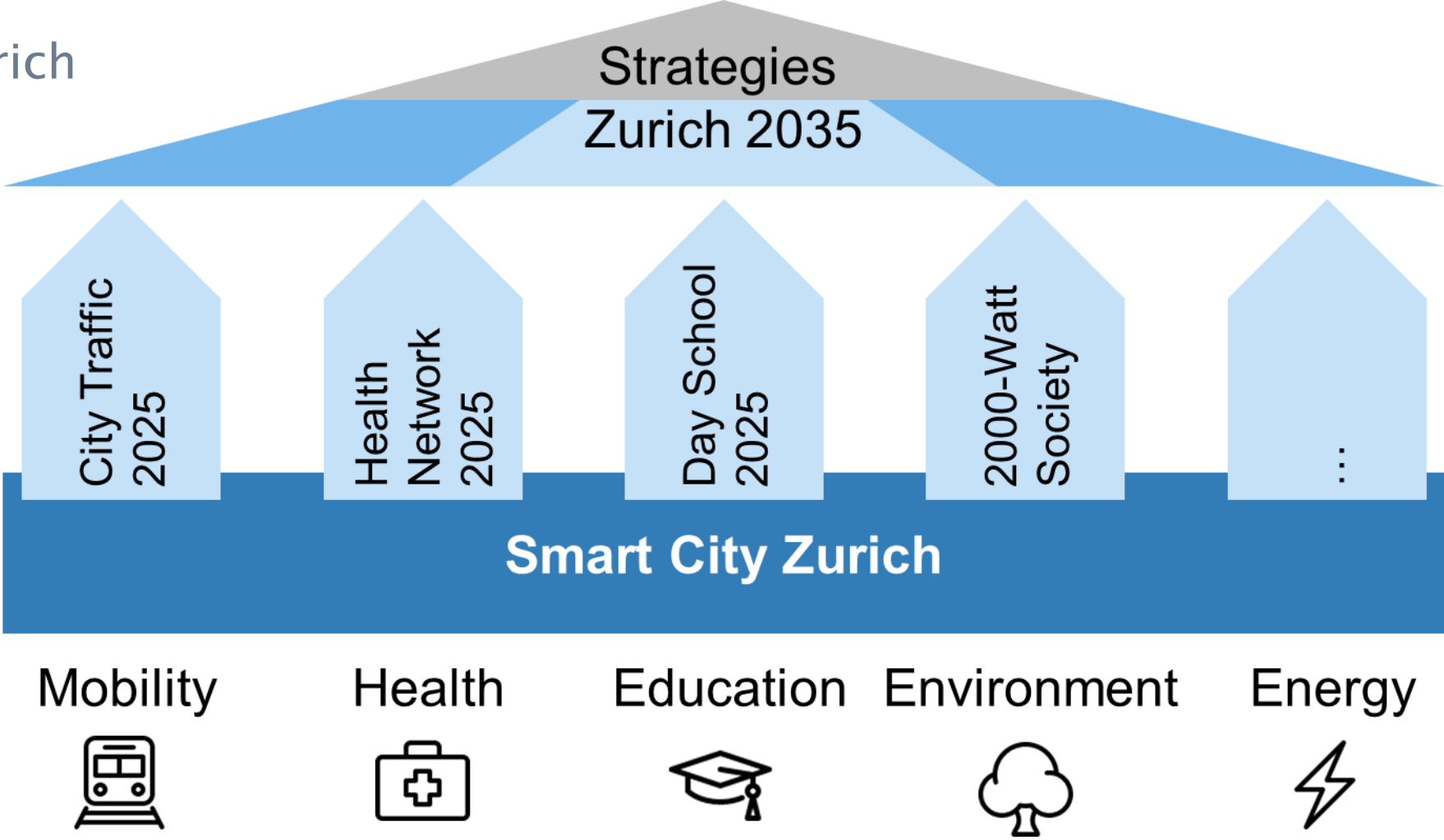
# Governance

- Strategy
- Open Government & Open Data
- Effecting Transformation



# Do you need a Smart City Strategy?

Example: Zürich



# What is Open Government?

## ▶ Information Transparency

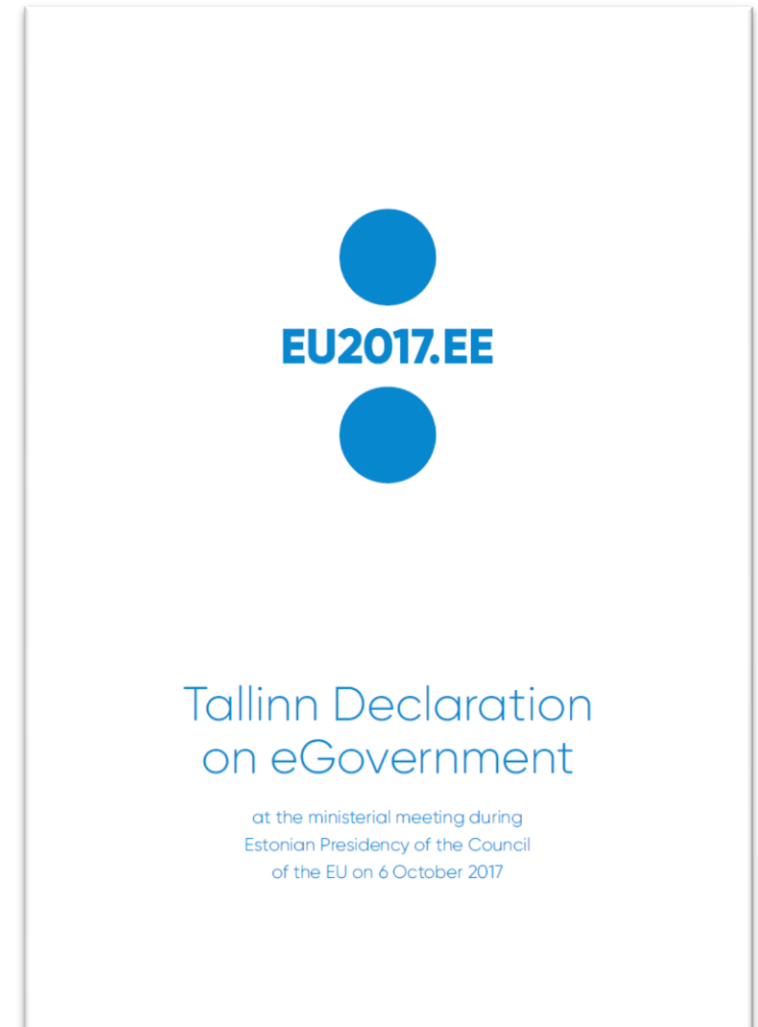
- Freedom of Information  
*(focus on access to government information)*
- **Open Data**  
*(focus on re-usability of government information & data from a legal and technical perspective)*

## ▶ Public Engagement (enhanced by technological advances)

- **Participation**
- **Collaboration**
- **Innovation**  
*(User Innovation, Co-Creation, etc.)*

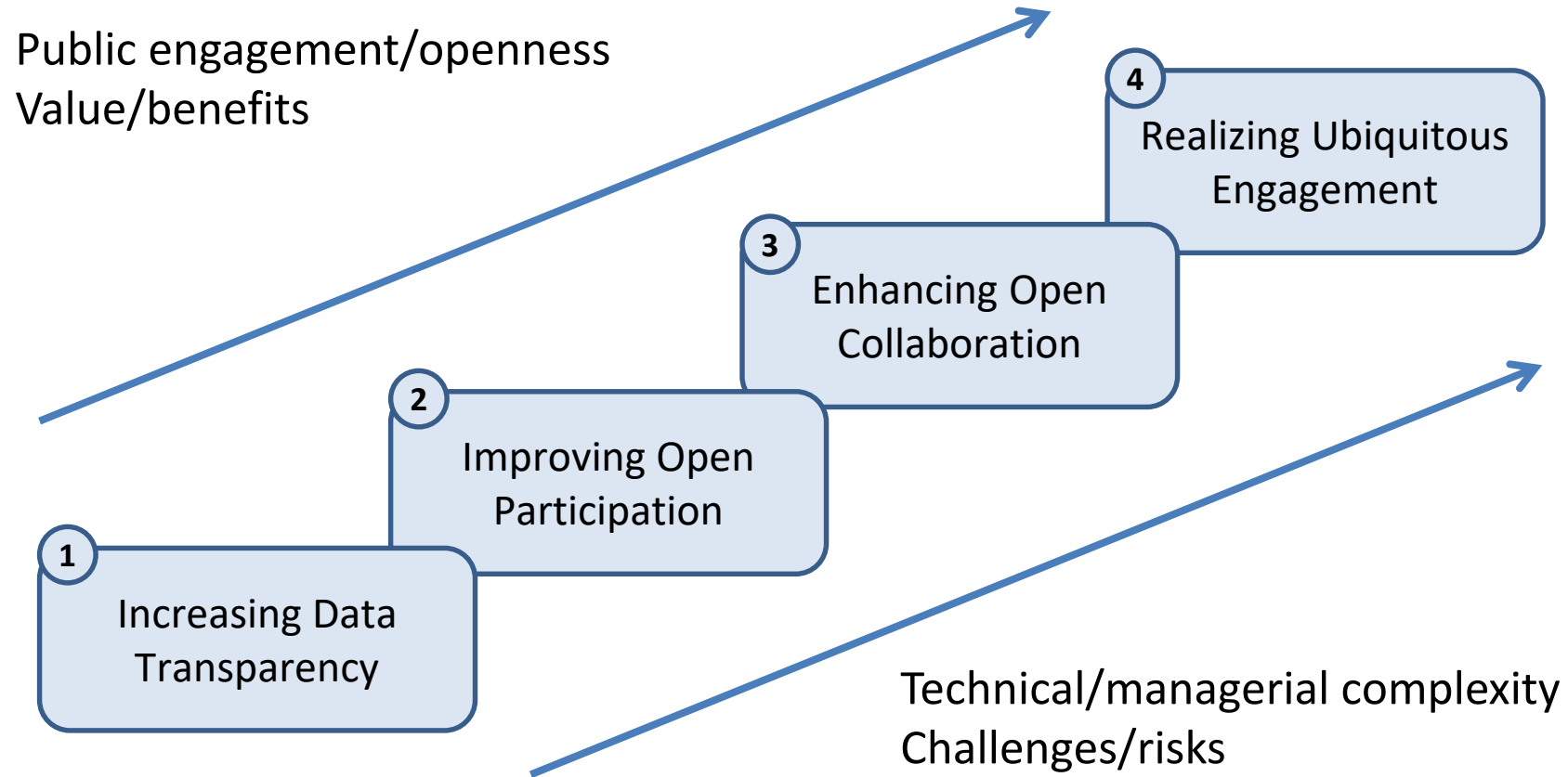
## ▶ Accountability

For an overview of various definitions of Open Government, refer to: <http://thegovlab.org/open-government-whats-in-a-name/>



Tallin Declaration, see: [https://ec.europa.eu/newsroom/document.cfm?doc\\_id=47559](https://ec.europa.eu/newsroom/document.cfm?doc_id=47559)

# Open Government Implementation Model



Source: Lee & Kwak 2011

# What is the Open Data?

*«Open data is data that can be freely used, re-used and redistributed by anyone - subject only, at most, to the requirement to attribute and sharealike.»*

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- **Availability and Access**

The data must be available as a whole and at no more than a reasonable reproduction cost, preferably by downloading over the internet. The data must also be available in a convenient and modifiable form.

- **Re-use and Redistribution:**

The data must be provided under terms that permit re-use and redistribution including the intermixing with other datasets.

- **Universal Participation**

Everyone must be able to use, re-use and redistribute - there should be no discrimination against fields of endeavour or against persons or groups. For example, 'non-commercial' restrictions that would prevent 'commercial' use, or restrictions of use for certain purposes (e.g. only in education), are not allowed.(CC-0, CC-BY, CC-BY-SA)

# Many Cities have Open Data Portals

... in fact, most Smart Cities do

The screenshot shows the top navigation bar of the Stadt Zürich Open Data portal. It includes the city logo, the text 'Stadt Zürich Open Data', and menu items for 'Startseite', 'Datensätze', and 'Kategorien'. A search bar with the placeholder 'Suche' is also present. Below the navigation bar, a white box contains the heading 'Willkommen auf dem Open Data Katalog' and a paragraph of German text: 'Der Datenkatalog ist Ihr zentraler Einstiegspunkt zur Suche und Nutzung von offenen Daten der Stadt Zürich. Die hier veröffentlichten Daten stehen kostenlos und zur freien – auch kommerziellen - Weiterverwendung zur Verfügung.'

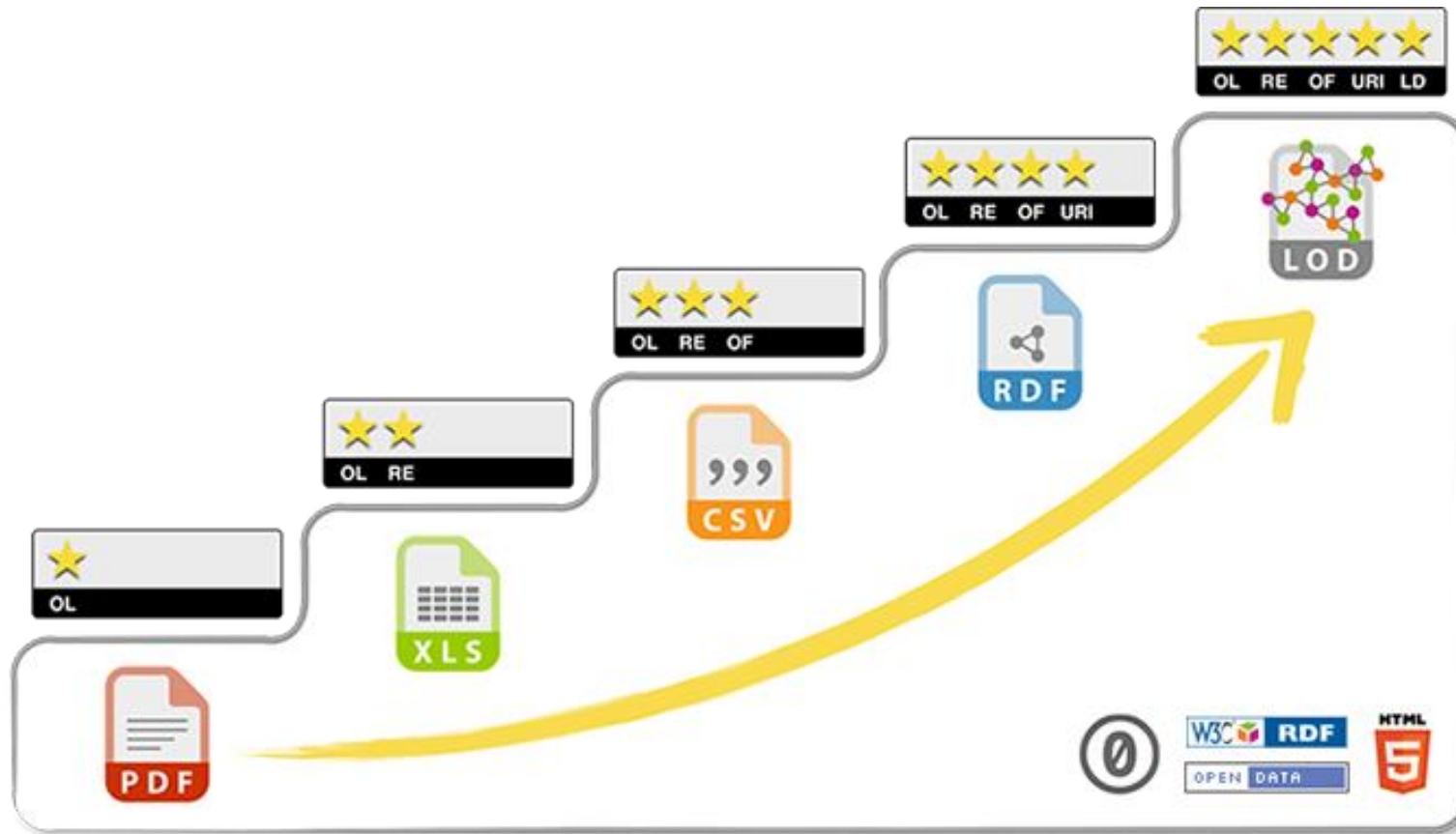
The screenshot shows the City of Cape Town Open Data Portal. At the top left is the city logo and the name 'CITY OF CAPE TOWN ISIXEKO SASEKAPA STAD KAAPSTAD'. Below this is the slogan 'Making progress possible. Together.' and links for 'City Home' and 'Site Guide'. The date 'Wed, 3 Oct 2018' is displayed in the top right. A prominent green banner reads 'City of Cape Town Open Data Portal'. Below the banner is a navigation menu with links for 'Home', 'Data sets', 'Suggest a data set', 'Feedback', 'Terms of use', and 'Policy'.

The screenshot shows the London Datastore. The header includes 'MAYOR OF LONDON' and 'LONDON ASSEMBLY'. The main heading is 'LONDON DATASTORE'. Below the heading is a search bar with the text 'Search 810 datasets...'. A recent update is noted: 'Updated 2 hours ago: Gas Consumption, Borough'. The main content area features a grid of category icons with line graphs, including 'JOBS AND ECONOMY', 'TRANSPORT', 'ENVIRONMENT', 'COMMUNITY SAFETY', 'HOUSING', 'COMMUNITIES', 'HEALTH', and 'LONDON AS A WORLD CITY'.

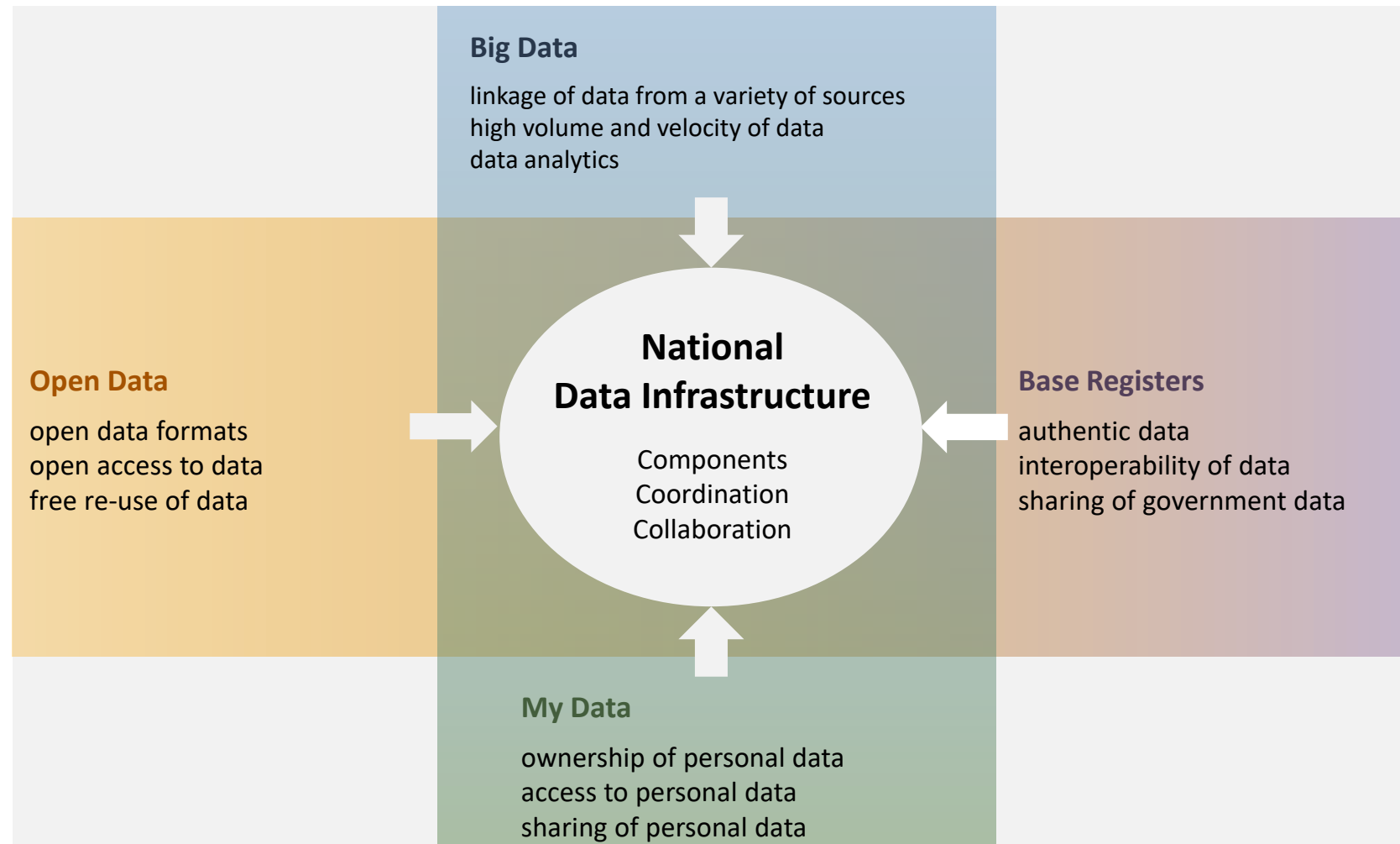
The screenshot shows the Sapporo Data-Smart City portal. The header includes 'DATA-SMART CITY SAPPORO' and a search bar with the text 'データセットを検索'. Below the header is a 'データカタログ' (Data Catalog) section with the text 'すべてのデータを見る'. A notification states '人口動態 平成30年(2018年)8月中を作成しました。'. The main content area features four data cards: '防災' (11), '人口' (34), '都市計画' (5), and '交通' (28). Each card has a red 'NEW' badge.

The screenshot shows the NYC OpenData portal. The header includes 'NYC OpenData' and navigation links for 'Home', 'Data', 'About', 'Learn', and 'Alerts'. A prominent blue banner reads 'Data'. Below the banner are two main sections: 'New Datasets' and 'Popular Datasets'. Each section has a circular icon with a 'NEW' badge and a brief description: 'View the most recently published datasets on' and 'View some of the most popular datasets on the'.

# 5-Star Model by Tim Berners-Lee

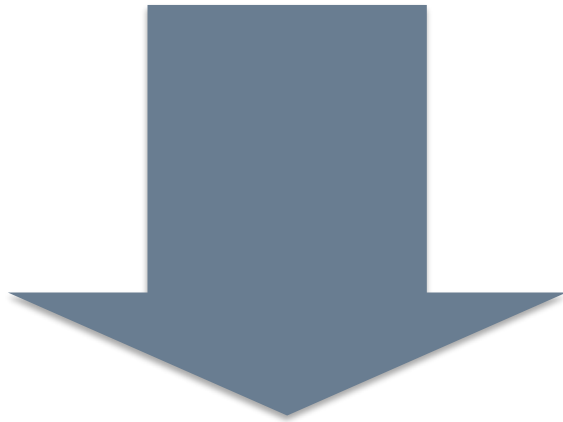


# Four Perspectives of a National Data Infrastructure



# Effecting Transformation & Innovation

Top-Down



- ▶ **Traditional** approach
- ▶ Often **slow**
- ▶ **No failures** accepted



Bottom-Up

- ▶ **Co-Creation**, building on knowledge, engagement and true needs of citizens
- ▶ **Fast**
- ▶ **Fail to learn**

## Typical Instruments

- Citizen Fora
- Hackathons
- Community Platforms
- Business Incubation Services
- ...

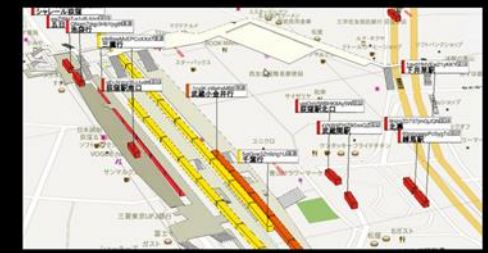


# Example

- ▶ First edition held Dec. 17 – May 18, approx. 100 submissions
- ▶ Now running in its 3<sup>rd</sup> edition

## Open Data Challenge for Public Transportation in Tokyo

Grand Award  
1,000,000 JPY



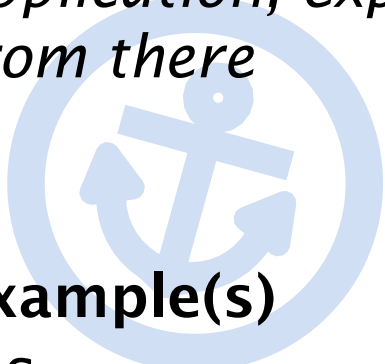
# Implementation

- Implementation Model
- Applications

# Implementation Models

## Anchor

*Start with single application, expand from there*

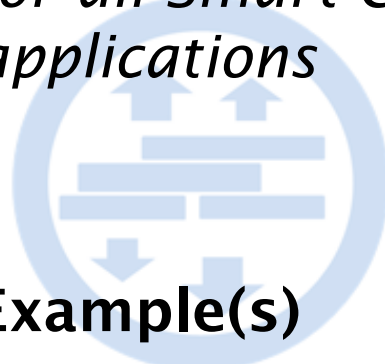


### Example(s)

- ▶ Sapporo
- ▶ Winterthur
- ▶ Yokosuka

## Platform

*Platform as a basis for all Smart City applications*

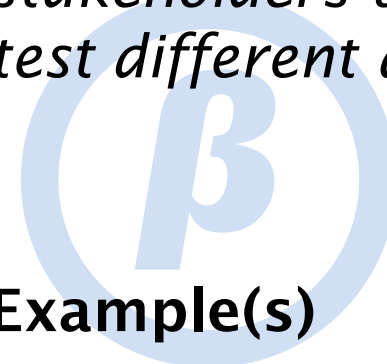


### Example(s)

- ▶ Vienna
- ▶ Murcia

## Beta-city

*Enable different stakeholders to try and test different applications*



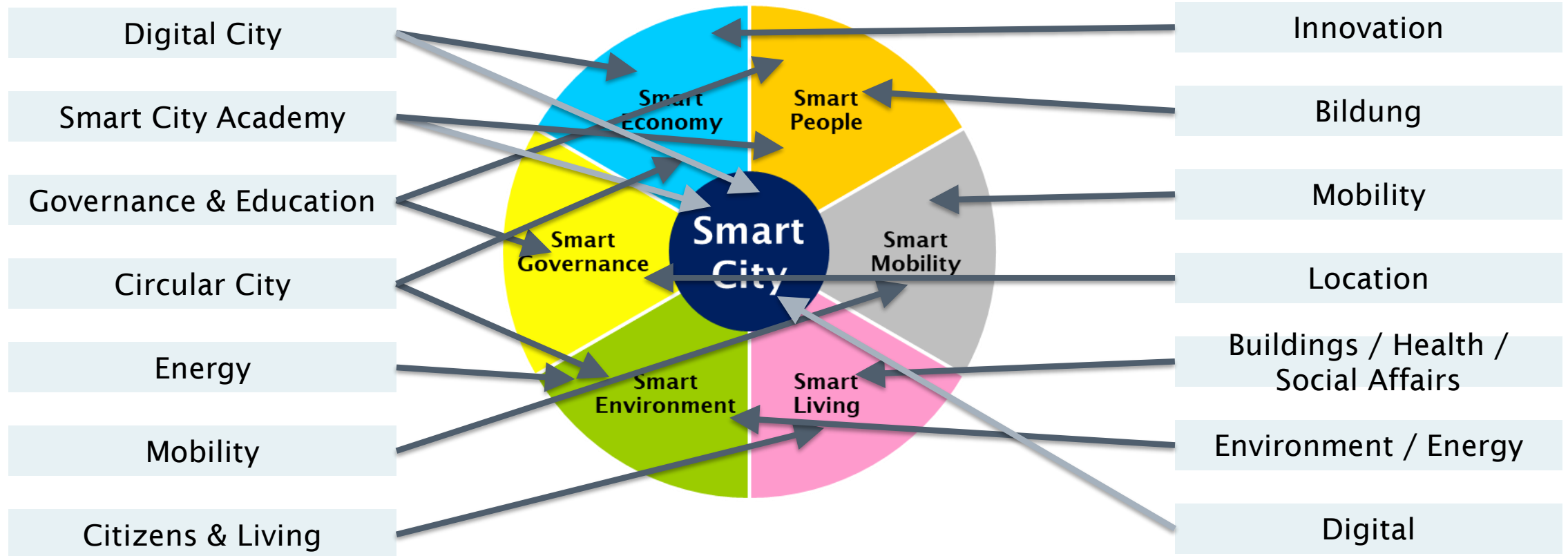
### Example(s)

- ▶ Amsterdam

# Different Smart City Applications

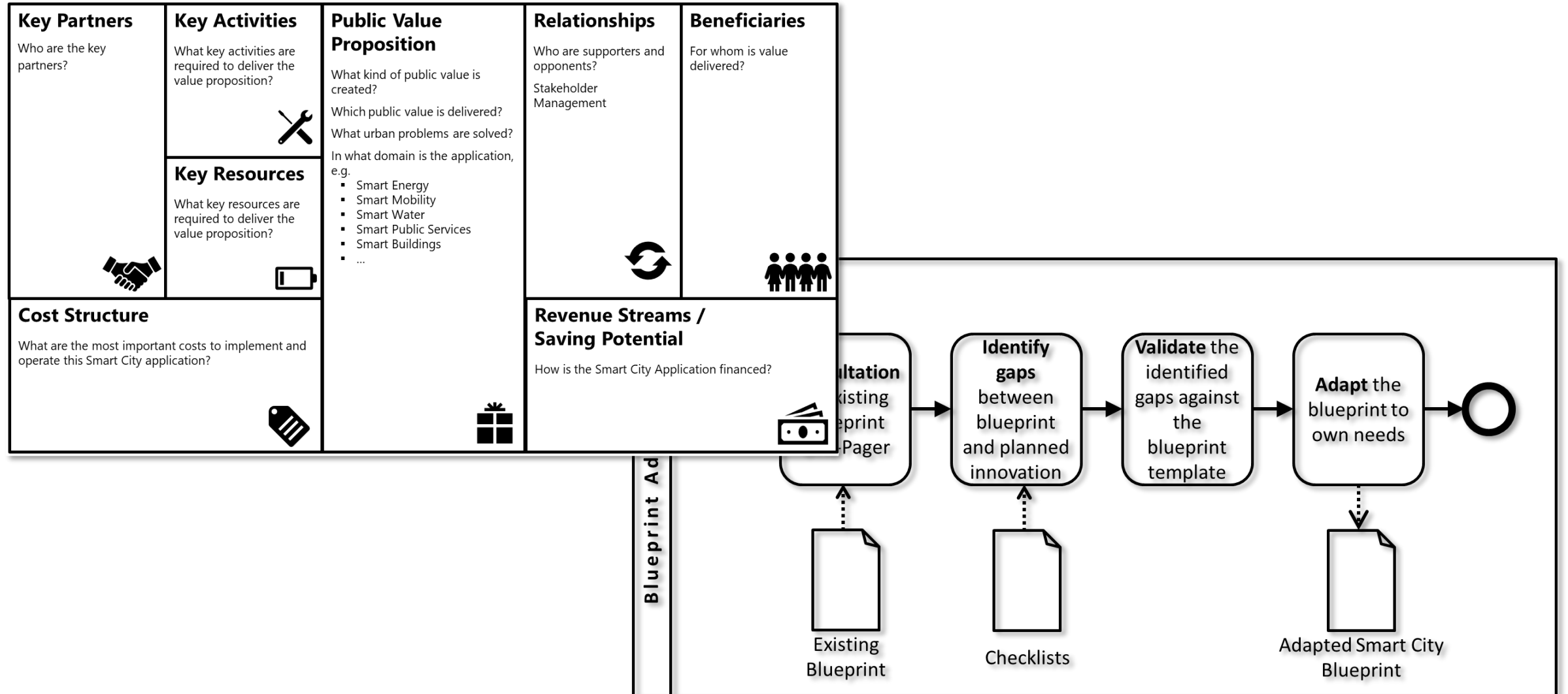
## Themes in Amsterdam

## Categories in Vienna



Sources: Amsterdam Smart City; Cohen 2014; Griffinger al. 2007; Haller 2019; Smart City Wien

# Usage of Blueprints for Application Transfer



# Infrastructure

- Internet of Things
- Platforms

# What is the Internet of Things?

*«Linking the real physical world with the virtual world of the Internet»*

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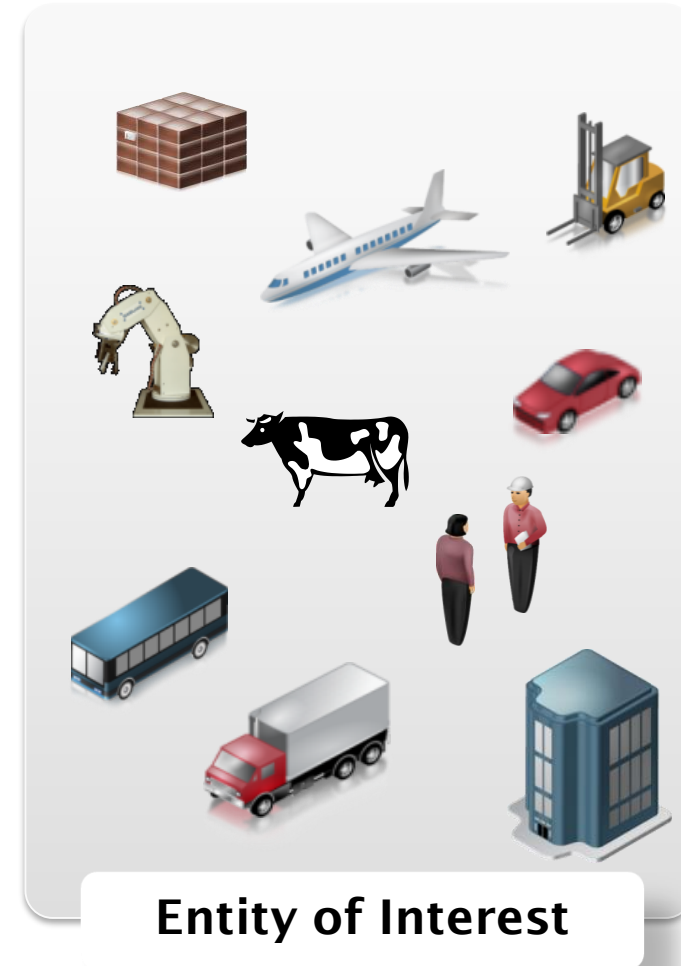
## Related Terms

- Cyber-Physical Systems
- Ubiquitous Computing
- Pervasive Computing
- M2M
- Ambient Intelligence
- ...

# What is the «Thing»?



or



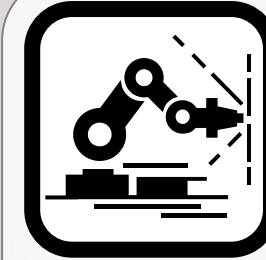


*“If you can’t measure it, you can’t improve it.”*  
– Peter Drucker



### Identification of individual entities

- ▶ Serialisation
- ▶ Life-cycle of a specific entity



### Real-world control

- ▶ Actuation
- ▶ Configuration changes
- ▶ Autonomous, smart objects



### Real-world visibility

- ▶ Entity location tracking
- ▶ Status monitoring (entities & places)
- ▶ Entity context

# Example IoT Infrastructure

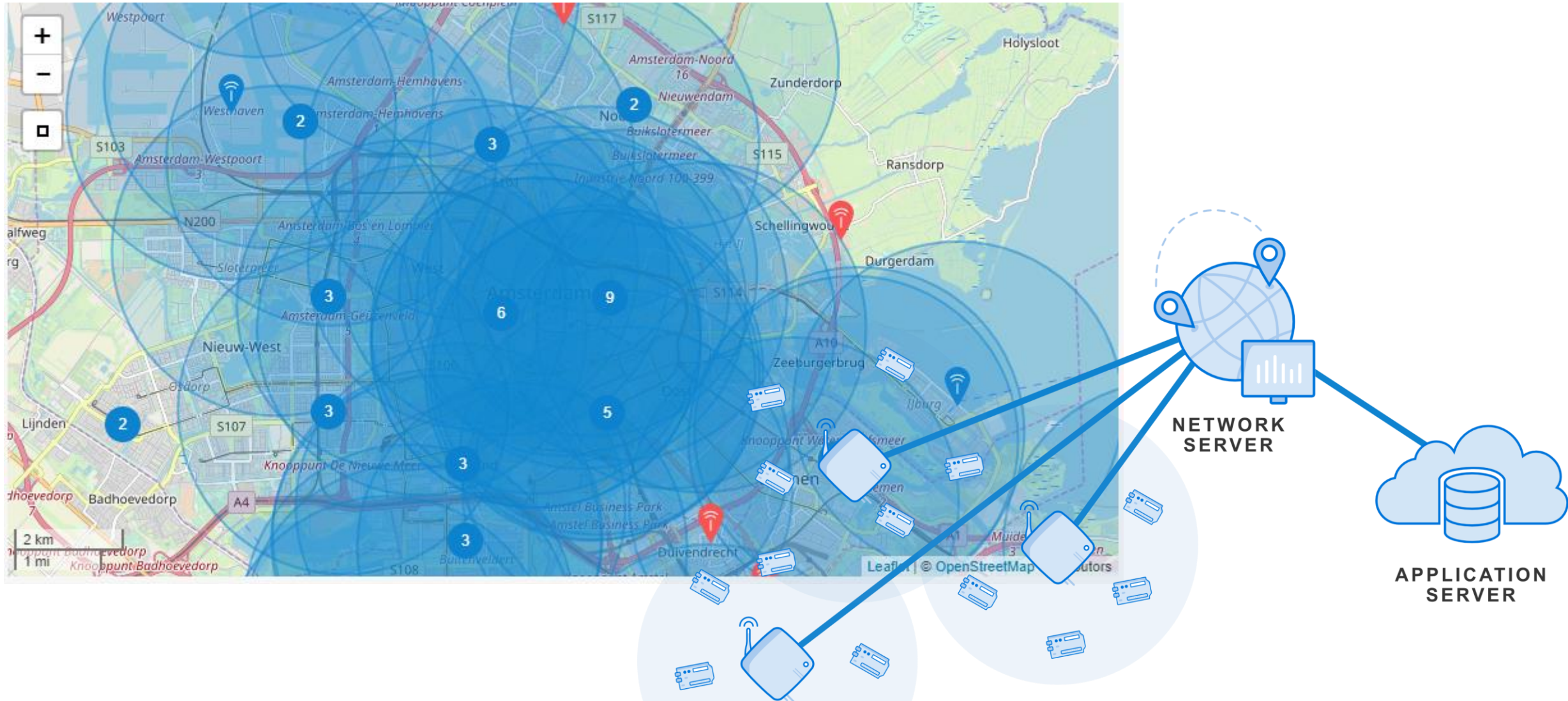
## The Things Network

- ▶ Community-based effort to build communication infrastructure
- ▶ Technology: LoRaWAN
  - ▶ **Long range** - multiple kilometers (world record 201 km)
  - ▶ **Low power** - can last months (or even years) on a battery
  - ▶ **Low cost** - less than 20€ CAPEX per node, almost no OPEX
  - ▶ **Low bandwidth** - something like 400 bytes per hour
  - ▶ **Secure** - 128bit end-to-end encrypted LoRaWAN
- ▶ Commercial LoRaWAN /LPWAN offerings also available



Images: The Things Network

# The Things Network: Coverage Amsterdam



Source: The Things Network, <https://www.thethingsnetwork.org/community/>

# Examples of Smart City Sensors



Smart Lighting Pole, Wädenswil, Switzerland

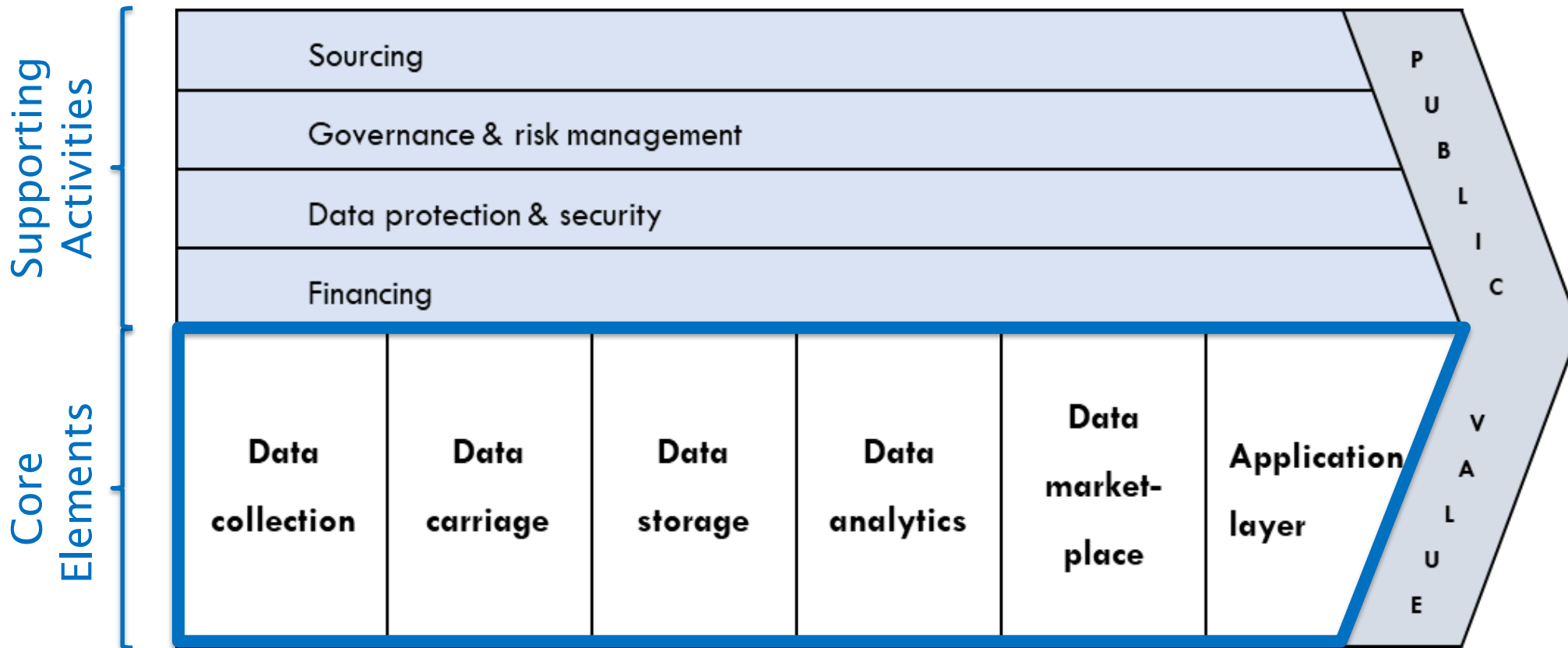


Smart Parking Sensors, Barcelona, Spain



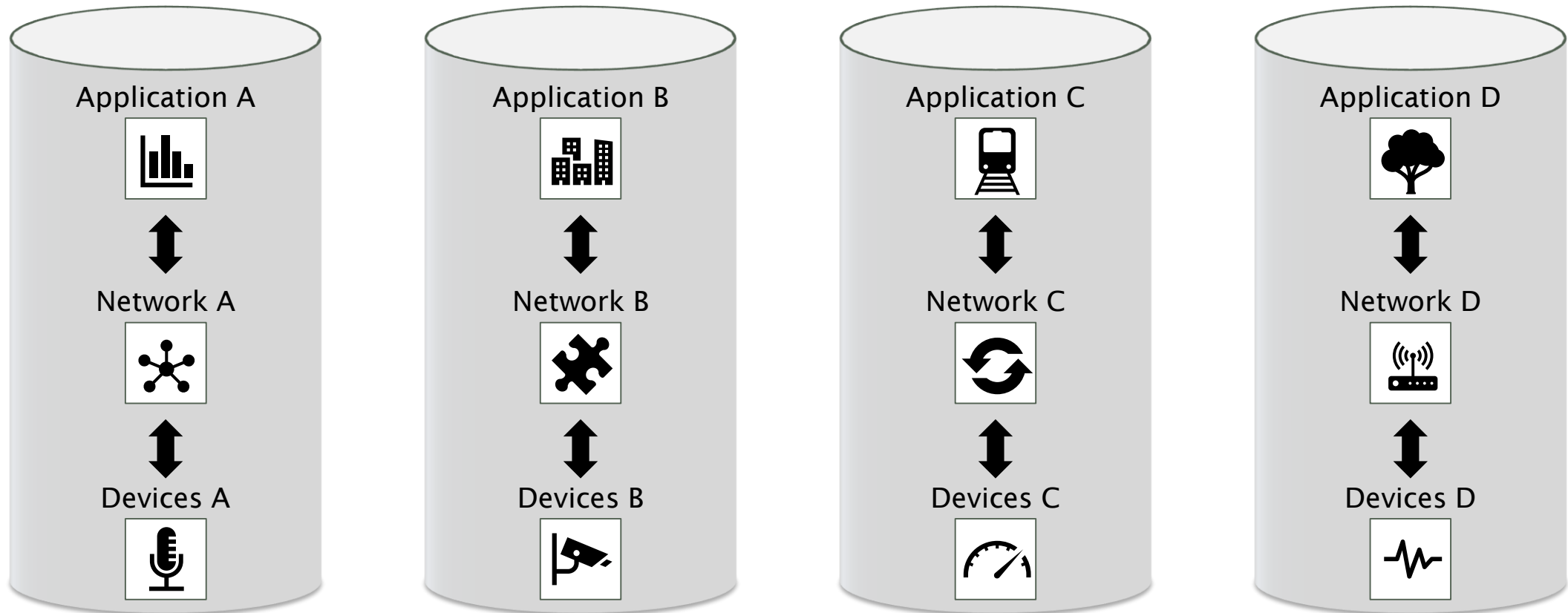
Smart Garbage Bins, New York, USA

# Value Chain of Smart City Applications

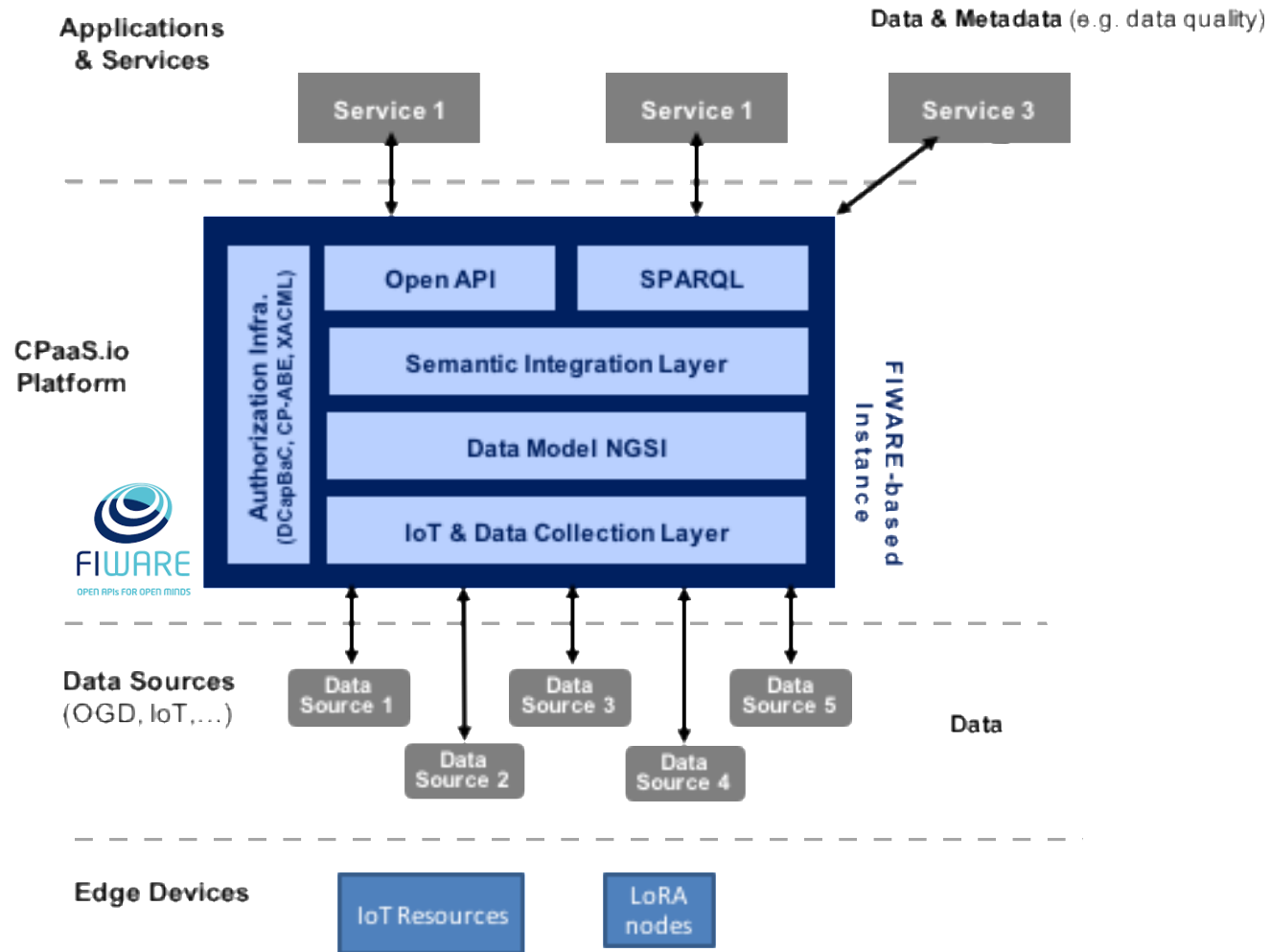


*Adapted from (Porter, 1985) and (Laaboudi, D'Ouezzan 2016)*

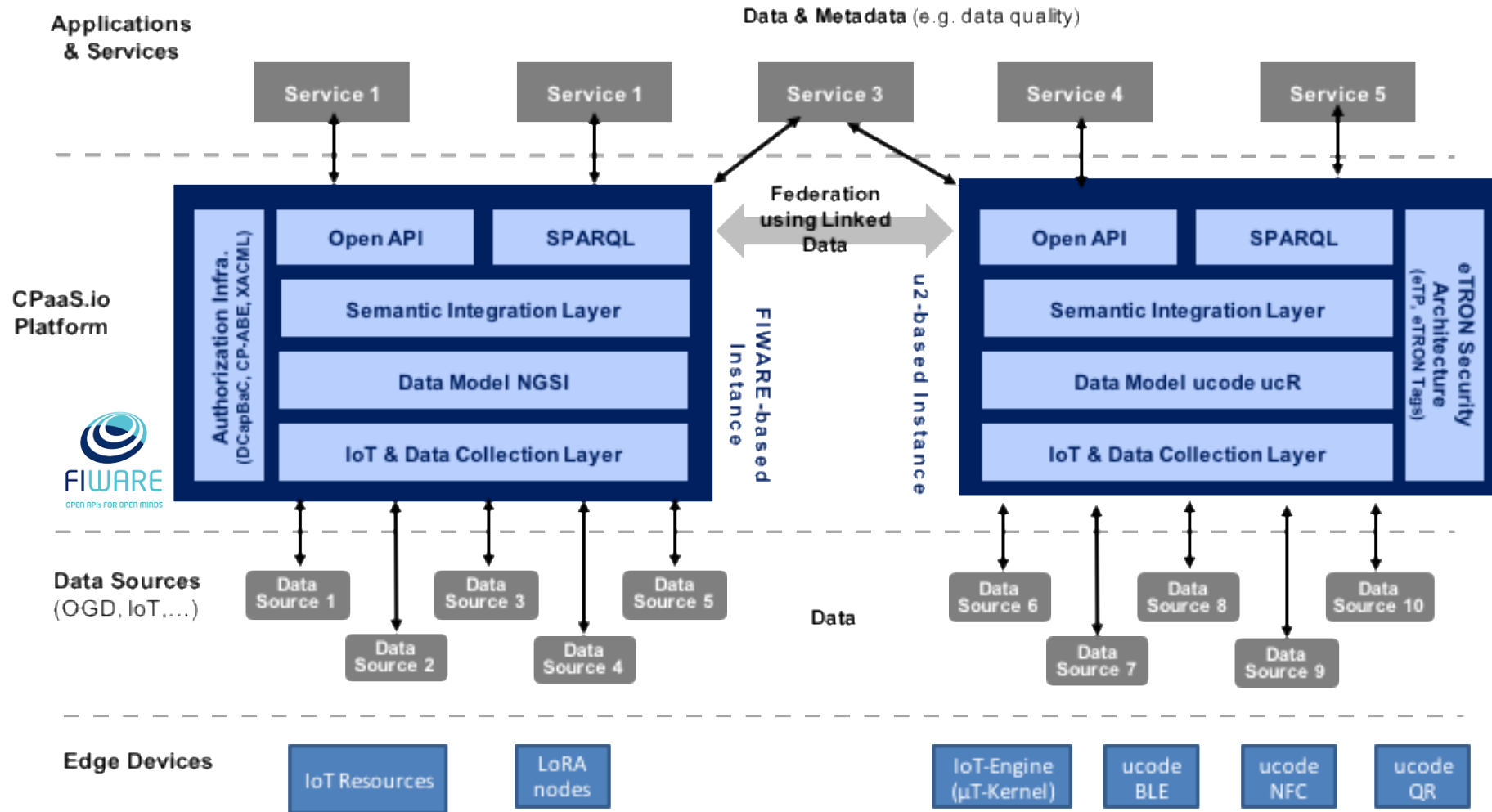
# Smart City: Siloed Applications?



# Smart City Platform / Urban Data Infrastructure

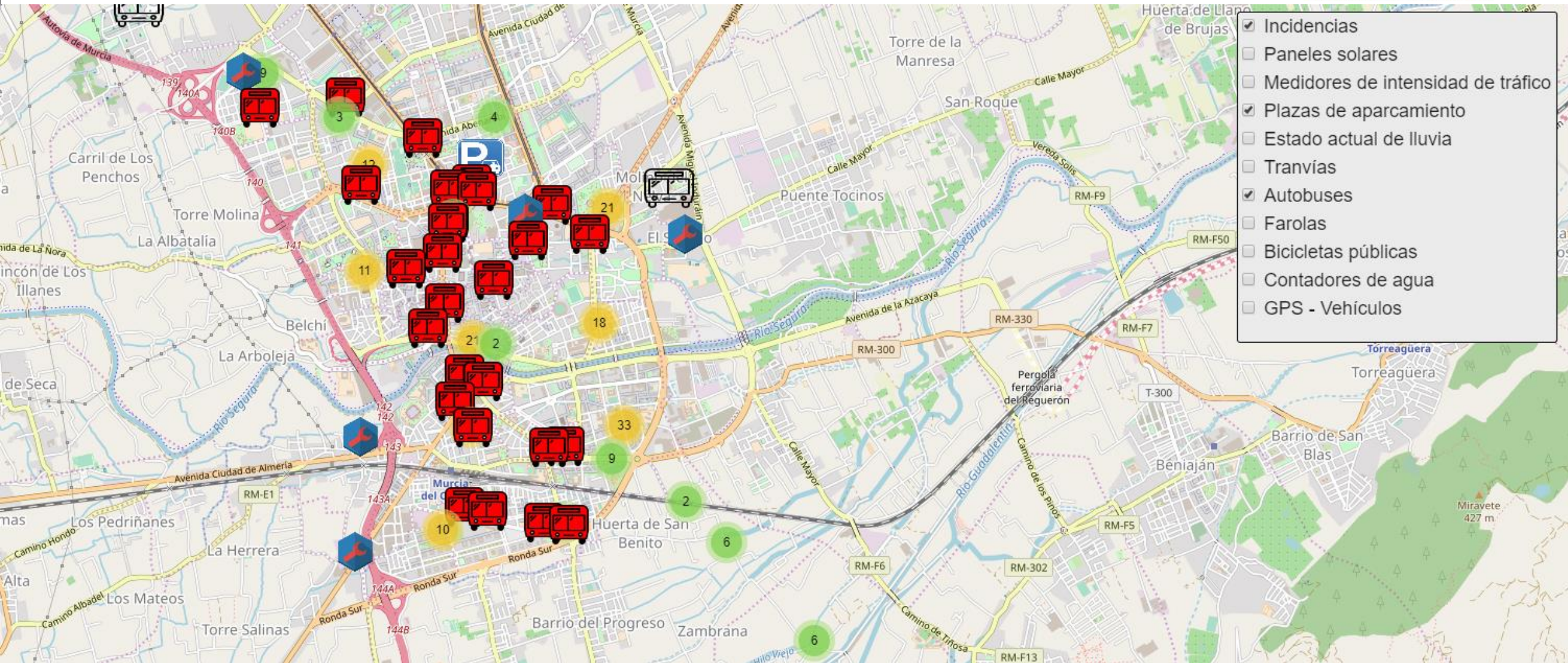


# Smart City Platform / Urban Data Infrastructure



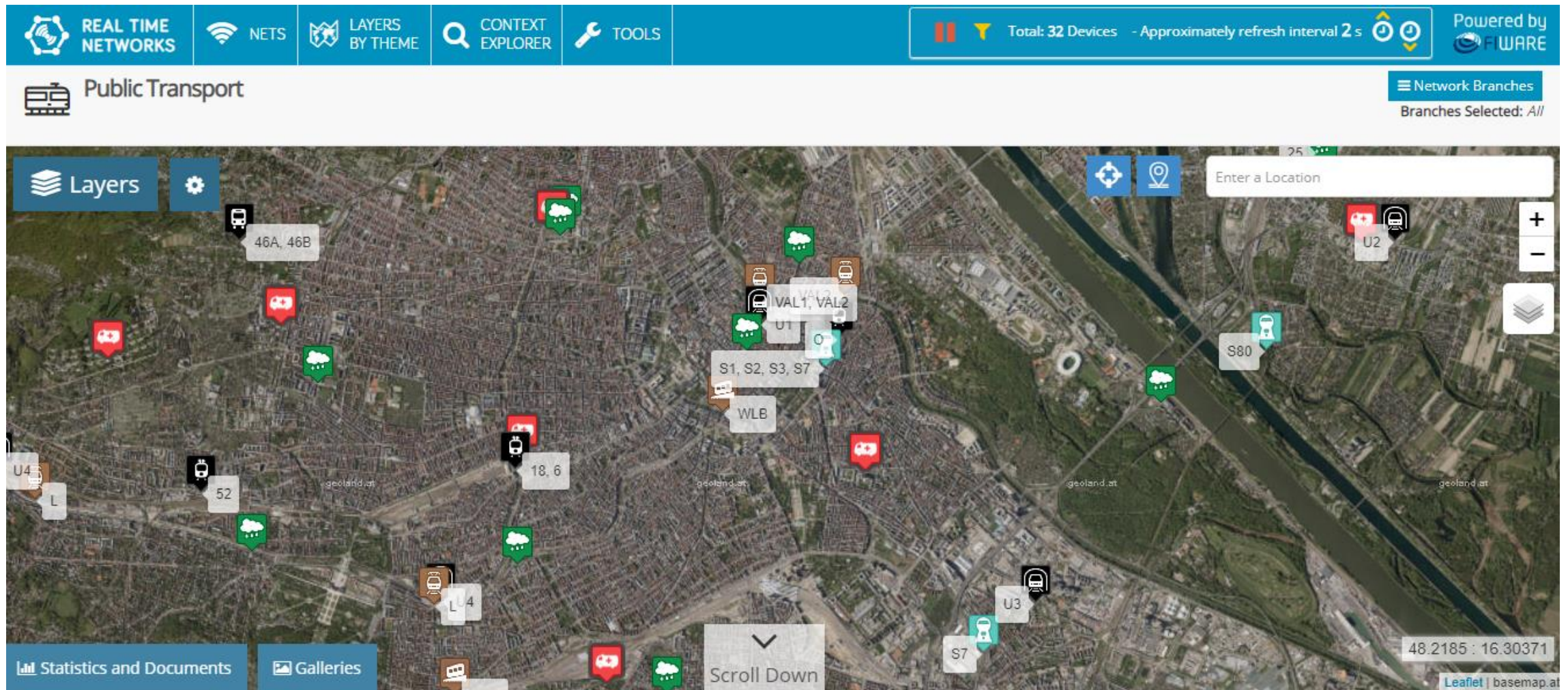


# City Dashboard Example: Murcia, Spain



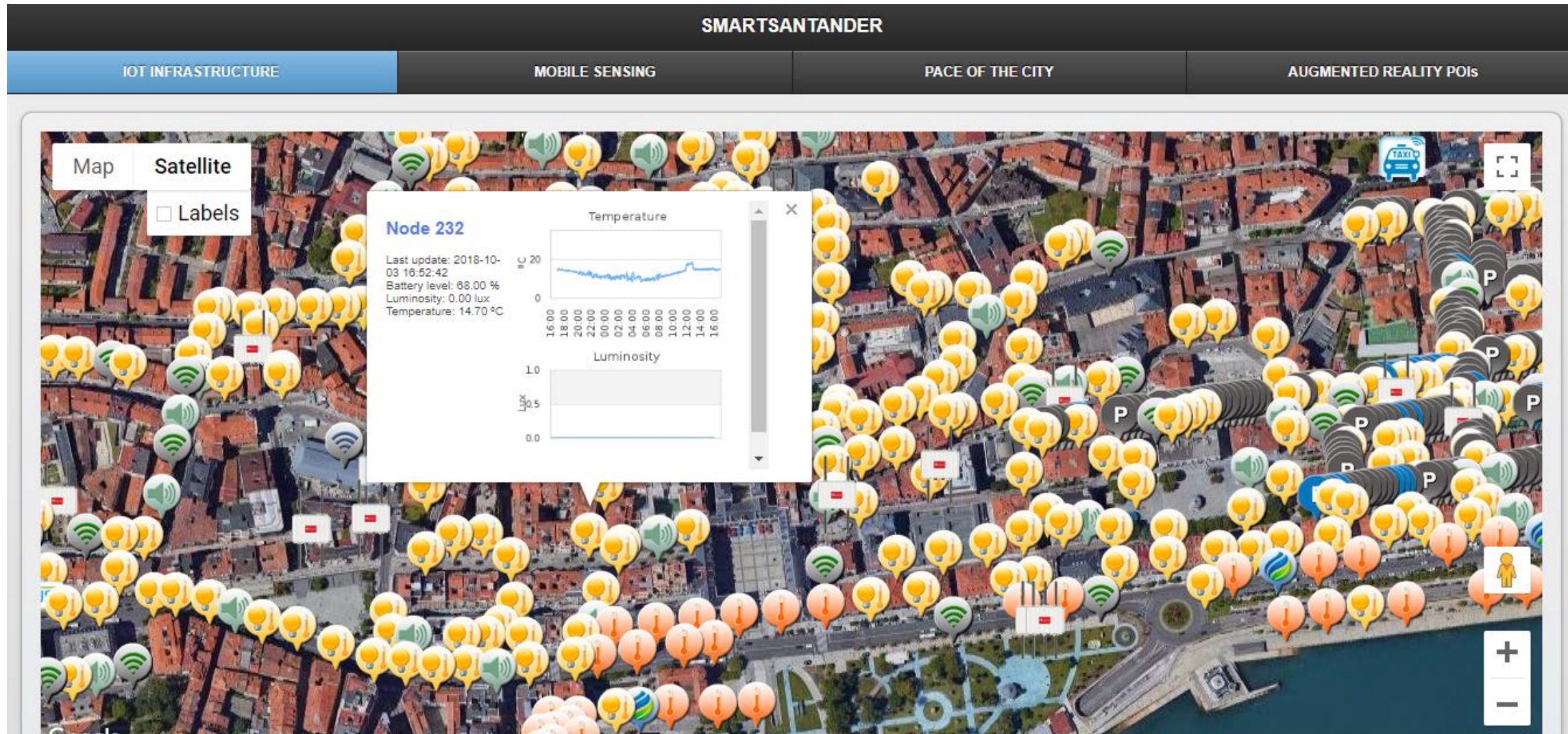
Source: <http://mapamurcia.inf.um.es/>

# City Dashboard Example: Vienna, Austria



Source: <https://smartdata.wien/iot/>

# City Dashboard Example: Santander, Spain



Source: <http://maps.smartsantander.eu/>

# City Dashboard Example: Darmstadt, Germany



Source: The Urban Institute [ui!], [https://www.informatik.tu-darmstadt.de/media/telekooperation/teaching\\_5/vorlesungsmaterialien/materialien\\_ucq/Ubicomp-Biz-2017-Part-II-LH.pdf](https://www.informatik.tu-darmstadt.de/media/telekooperation/teaching_5/vorlesungsmaterialien/materialien_ucq/Ubicomp-Biz-2017-Part-II-LH.pdf)

# Summary

# Success Factor 1 – Involvement of Stakeholders

- ▶ Public-Private-Partnerships (PPP) als common model
- ▶ Partner ecosystem must be open for new partners
- ▶ Important stakeholders:
  - ▶ City administration
  - ▶ Politicians
  - ▶ Citizens and end users
  - ▶ Enterprises
  - ▶ Academia



Bild: pixabay (geralt)

Sources: Walser & Haller 2016; van Winden et al. 2016

## Success Factor 2 – Openness and Data

- ▶ Open Data (Government, IoT, ...)
- ▶ Availability of Open Data platforms to enable bottom-up innovation
- ▶ Data semantics and an understanding of data quality is important
- ▶ Sharing experience and knowledge



Picture: SocietyByte.swiss

Sources: Walser & Haller 2016; van Winden et al. 2016; Fraefel et al. 2017

## Success Factor 3 – Scaling Potential

- ▶ Realistic business model essential to get beyond a pilot phase
- ▶ Social benefits and contribution to sustainability of difficult to translate into concrete financial flows, nevertheless important
- ▶ Address scaling potential early



Picture: MaxPixel

Source: van Winden et al. 2016



# Concluding Remarks

- ▶ Smart City is about more than equipping the city with technology (Internet of Things, sensors)
- ▶ It is about quality of life, addressing social, ecological and economic challenges as well as the city's ***capacity for transformation*** → «Resilient City» as a new buzzword
- ▶ Open data, openness to exchange experiences with others and participatory approaches, experimentation and a dynamic economic environment are important to ***exploit the city's innovation capacities***

# Thank You!

Gracias Mulțumesc 謝謝 Paldies Eskerrik asko Dziękuję Mahalo תודה Go raibh maith agat спасибо  
Grazzi आभारी Xin cảm ơn 감사합니다 நன்றி Köszönöm مرسي Ndiyabulela Grazia Tak Благодаря  
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Obrigado Ngiyabonga Pakka për Grazas Tapadh leibh ขอบคุณ Faleminderit ありがとう Danke  
Ačiū Merci Grazie Hvala Ευχαριστώ Dankon Tack Dank je Grazcha



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